

AUG 2 1 2001 PER PROPERTY PTO-1449

TECH CENTER 1600/2900 Page 1 of 4 APPLICATION NO. ATTY. DOCKET NO. 1488.1220003/EKS/EJH 09/827,937 APPLICANT Li et al. INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 1646 April 9, 2001 U.S. PATENT DOCUMENTS EXAMINER FILING DATE CLASS SUB-DOCUMENT DATE NAME INITIAL CLASS NUMBER 02/15/1994 536 23.5 12/17/1996 MacLennan et al. NM 5,585,476 AA1 10/08/1996 530 324 Chan et al. 11/10/1998 AB1 5,834,587. 435 69.1 04/24/1997 06/15/1999 Au-Young et al. 5,912,144 AC1 05/07/1997 435 69.1 05/09/2000 Li et al. AD1 6,060,272 ΑE AF AG ΑH ΑI АJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER TRANSLATION SUB-CLASS COUNTRY DATE DOCUMENT INITIAL CLASS NUMBER Yes No WIPO WO 94/12519 06/09/1994 AL1 Yes No WO 98/48016 10/29/1998 WIPO AM1 Yes No ΑN Yes No AO Yes No AΡ OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Lin, C.C. and Van de Sande, J.H., "Differential Fluorescent Staining of Human Chromosomes with Daunomycin and Adriamycin-The D-bands," Science 190:61-63, AR 1 American Association for the Advancement of Science (1975). Nelson, P.S. et al., "An Expressed-Sequence-Tag Database of the Human Prostate: Sequence Analysis of 1168 cDNA Clones," Genomics 47:12-25, Academic Press AS 1 (January 1998). NCBI Entrez, GenBank Accession No. D81412, Fujiwara, T. et al. (February 1996). ΑТ 1 DATE CONSIDERED EXAMINER **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next

communication to Applicant.
P:\USERS\MDESAI\1488\1220003\1449\_1488 1220003.wpd

AUG 2 3 2001

|   |         | VIIO         | -           | <u> </u>       |   | TECH (   | ENTER-   | I RANNISSEE         | Page 2 of 4   |  |  |  |
|---|---------|--------------|-------------|----------------|---|--|--|---------------------|---------------|--|--|--|
|   |         | 375WT        | RADEMAR     |                | ATTY. DOCKET<br>1488.1220003            | NO.  | APPLI  | CATION NO<br>27,937 | •             |  |  |  |
| FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT |         |              |             |                | APPLICANT                               | APPLICANT  |  |                     |               |  |  |  |
|   |         |              |             |                | FILING DATE April 9, 200                | Li et al.  FILING DATE GROUP  April 9, 2001 1646 |  |                     |               |  |  |  |
|   |         |              |             |                | S. PATENT DOCUMEN                       |  |  |                     |               |  |  |  |
| XAMINER   | T       | T            | <del></del> | T              |   |  |  |                     | DITTING DAME  |  |  |  |
| NITIAL  |         | DOCU<br>NUMB |             | DATE           | NAME                                    |  | CLASS  | SUB-<br>CLASS       | FILING DATE   |  |  |  |
|   | AA      | <del> </del> |             |                |   |  |  |                     |               |  |  |  |
|   | AB      | <u> </u>     |             |                |   |  |  |                     |               |  |  |  |
|   | AC      | <del> </del> |             | <del></del>    |   |  |  |                     |               |  |  |  |
|   | AD      | +            |             |                |   |  |  |                     |               |  |  |  |
|   | AE2     | +            |             | +              |   |  |  |                     |               |  |  |  |
| - V-5   | AF      | <del>-</del> |             | +              | _ = = = = = = = = = = = = = = = = = = = |  | rc   |                     |               |  |  |  |
|   | AG      | _            |             | <del>-  </del> |   |  |  |                     |               |  |  |  |
|   | AH      | +            |             | +              |   |  |  |                     |               |  |  |  |
|   | AI      | +-           |             |                |   |  |  |                     |               |  |  |  |
|   | AJ      | +-           |             |                |   |  |  |                     |               |  |  |  |
|   | AK      |              |             | FOR            | EIGN PATENT DOCUM                       | ENTS   | <u>.                                      </u> |                     |               |  |  |  |
| EXAMINER<br>INITIAL                             |         |              | JMENT       | DATE           | COUNTRY                                 |  | CLASS  | SUB-<br>CLASS       | TRANSLATION   |  |  |  |
|   |         | NUME         | BER         |                |   |  |  | TITLE TO            | Yes           |  |  |  |
|   | AL      |              |             |                |   |  |  |                     | No            |  |  |  |
|   | AM      |              |             |                |   |  |  |                     | Yes           |  |  |  |
|   | An      | Ĭ.           |             |                |   |  |  |                     | Yes           |  |  |  |
|   | AN      |              |             |                |   |  |  |                     | Yes           |  |  |  |
|   | AO      |              |             |                |   |  |  |                     | No            |  |  |  |
|   |         |              |             |                |   |  |  |                     | Yes<br>No     |  |  |  |
|   | AP      |              |             | 3 35 - 3 20 20 | nor, Title, Date,                       | Pertinent Pag                                    | es, etc.                                       | )                   |               |  |  |  |
| M   | AR      | 2            | NCBI En     | trez, GenBan   | k Accession No. A                       | A274112, Marra                                   | a, M. et                                       | al. (Marc           | n 1997)       |  |  |  |
|   | AS      | 2            | NCBI En     | trez, GenBan   | k Accession No. A                       | A353166, Adams                                   | s, M.D. 6                                      | et al. (Ap          | ril 1997)     |  |  |  |
| V   | AT      | 2            | NCBI Er     | ntrez, GenBan  | k Accession No. A                       | Accession No. AA810452, NCI-CGAP (February 1998) |  |                     |               |  |  |  |
| EXAMINER  |         |              | 1 9         | Raw            |   |  | DATE CONSIDERED 124/03                         |                     |               |  |  |  |
| EXAMINER:                                       |         |              |             |                | whether or not c                        | itation is in                                    | conforma                                       | ance with           | MPEP 609. Dra |  |  |  |
| line throu                                      | gh cita | ation :      | if not ir   | conformance    | and not consider                        | ed. Include o                                    | copy of t                                      | THIS TORM           | ATCH HEYC     |  |  |  |
| communicat                                      | ion to  | Appli        | cant.       |                |   |  |  |                     |               |  |  |  |

Page 3 of 4

AUG 2 1 2001

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

communication to Applicant.

ATTY. DOCKET NO. 1488.1220003/EKS/EJH

APPLICATION NO. 09/827,937

APPLICANT

Li et al.

FILING DATE

GROUP

10 2 3 2001

|                     |          |  |                | FILING DATE<br>April 9, 2001 | GROU:<br>1646  |                   | AUG 2 3 ZUUT               |
|---------------------|----------|--|----------------|------------------------------|----------------|-------------------|----------------------------|
|                     |          |  | · U.S.         | PATENT DOCUMENTS             |                |                   | TER 1600                   |
| EXAMINER<br>INITIAL |          | DOCUMENT<br>NUMBER                               | DATE           | NAME                         | CLASS          | SUB TECH<br>CLASS | CENTER 1600<br>FILING DATE |
|                     | AA       |  |                |                              |                | <u> </u>          | ļ <u>.</u>                 |
|                     | AB       |  |                |                              |                | <b>-</b>          |                            |
|                     | AC       | <del>-</del>                                     |                |                              |                | 1                 | <del> </del>               |
|                     | AD       | <del>                                     </del> |                | <del> </del>                 |                | <del> </del>      |                            |
|                     | AE       |  | <del></del>    |                              |                | 1                 |                            |
|                     | AF<br>AG |  |                |                              |                | 1                 |                            |
|                     | AH       |  | -              |                              |                |                   |                            |
|                     | AI       |  |                |                              |                |                   |                            |
|                     | AJ       |  |                |                              |                |                   |                            |
|                     | AK       |  |                |                              |                |                   |                            |
|                     |          |  | FORE I         | GN PATENT DOCUMENTS          |                |                   |                            |
| EXAMINER<br>INITIAL |          | DOCUMENT<br>NUMBER                               | DATE           | COUNTRY                      | CLASS          | SUB-<br>CLASS     | TRANSLATION                |
|                     | AL       |  |                |                              |                |                   | Yes<br>No                  |
|                     | AM       |  |                |                              |                | •                 | Yes<br>No                  |
|                     | AM       |  |                |                              |                | 1                 | Yes                        |
|                     | AN       |  |                |                              |                | ļ                 | No                         |
|                     | AO       |  |                |                              |                |                   | Yes<br>No                  |
|                     |          |  |                |                              |                |                   | Yes                        |
|                     | AP       |  |                | , Title, Date, Pertinent     |                |                   | No                         |
| M                   | AR       | 3 NCBI Enti                                      | rez, GenBank A | ccession No. AA447306, N     | Nelson, P.S. e | t al. (Ap         | ril 1998)                  |
|                     | AS       | 3 NCBI Enti                                      | rez, GenBank A | ccession No. AJ000479, (     | Graeler, M.H.  | et al. (No        | ovember 1998)              |
|                     | AT       | 3 NCBI Enti                                      | cez, GenBank A | ccession No. CAA04118, (     | Graeler, M.H.  | et al. (N         | ovember 1998)              |
| V                   |          |  |                |                              |                |                   |                            |

line through citation if not in conformance and not considered. Include copy of this form with next

## AUG 2 3 2001

AUG 2 1 2001 3

TECH CENTER 1600 100 Page 4 of 4

|                     |                    | Ι,                 | PTO LAMOE!   | . R. R. C. | ATTY. DOCKET NO.<br>1488.1220003/EKS     | ATTY. DOCKET NO. APPLICATION NO. 1488.1220003/EKS/EJH 09/827,937 |             |               |               |  |
|---------------------|--------------------|--------------------|--|--|--|--|-------------|---------------|---------------|--|
| FORM PTO TRADEM     |                    |                    |  |  | APPLICANT<br>Li et al.                   |  |             |               |               |  |
|                     |                    |                    |  |  | FILING DATE<br>April 9, 2001             | FILING DATE GROUP  |             |               |               |  |
|                     |                    |                    |  | υ.   | S. PATENT DOCUMENTS                      |  |             |               |               |  |
| EXAMINER<br>INITIAL |                    | DOC<br>NUM         | UMENT<br>BER   | DATE   | NAME                                     | c  | LASS        | SUB-<br>CLASS | FILING DATE   |  |
|                     | AA                 |                    |  |  |  |  |             |               |               |  |
|                     | AB                 |                    |  |  |  |  |             |               |               |  |
|                     | AC                 | +                  |  |  |  |  |             |               |               |  |
|                     | AD                 | +                  |  |  |  |  |             | <u> </u>      |               |  |
|                     | AE<br>AF           | +                  |  | _  |  |  |             | 1             |               |  |
| - *                 | AG                 | +                  |  |  |  | -  | <del></del> | <b>†</b>      |               |  |
|                     | AH                 | 1                  | -1   |  |  |  |             |               |               |  |
|                     | AI                 |                    |  |  |  |  |             |               |               |  |
|                     | AJ                 |                    |  |  |  |  |             |               |               |  |
|                     | AK                 |                    |  |  |  |  |             | 1             |               |  |
| ·····               |                    | т                  |  | FORE   | IGN PATENT DOCUMENTS                     | <u> </u>   |             |               | T             |  |
| EXAMINER<br>INITIAL |                    | DOCUMENT<br>NUMBER |  | DATE   | COUNTRY                                  | c:   | LASS        | SUB-<br>CLASS | TRANSLATION   |  |
|                     | AL                 |                    |  |  |  |  |             |               | Yes<br>No     |  |
|                     | AM                 |                    |  |  |  |  |             |               | Yes<br>No     |  |
|                     | 1                  | 1                  |  |  |  |  |             | 1             | Yes           |  |
| ,                   | AN                 | <u> </u>           | •  |  |  |  |             |               | No<br>Yes     |  |
|                     | AO                 | ╅—                 |  |  |  |  |             |               | No<br>Yes     |  |
|                     | AP                 |                    |  |  |  |  |             |               | No<br>No      |  |
|                     |                    |                    | OTHER (In  | cluding Autho                                  | or, Title, Date, Perti                   | inent Pages,   | etc.)       |               |               |  |
| phi                 | AR                 | 4                  | NCBI Ent   | rez, GenBank                                   | Accession No. CAA0684                    | 47, Graeler,   | М.Н.        | et al. (No    | ovember 1998) |  |
|                     | AS                 | <u>4</u>           | Pending United States Nonprovisional Application No. 09/518,383, Li et al., filed March 3, 2000. |  |  |  |             |               |               |  |
| 4                   | AT                 | 4                  | Pending United States Nonprovisional Application No. 09/518,381, Li et al., filed March 3, 2000. |  |  |  |             |               |               |  |
| EXAMINER            | V.                 | u m                | 151  | 1  |  | DAT  | E CONS      | IPPRED / o    | 7             |  |
|                     | Initial<br>h citat | if r               | eference of not in o   |  | hether or not citation not considered. I |  |             |               |               |  |
|                     |                    |                    |  |  |  |  |             |               |               |  |